



Casino Incident Details

Casino:	Treasury Casino	Ref No.:	18969
Incident Date:	05 MAY 2015	Create Date:	14 MAY 2015
Incident Time:	20:25	Overall Risk Rating:	High
Inspector:	Michael DAY	Closed Date:	27 MAY 2015
Source:	Audit Issue	Audit Ref No:	41141
Brief Description:	BR 73(2) CCA - OLGR NOT ADVISED OF EGM MALFUNCTION - MEDIUM RATED OPERATOR NON-CONFORMITY EGM MALFUNCTION - EGM PLAYING OFF PATRONS CREDITS		

Detailed Report

Background:

WHILE CONDUCTING AN AUDIT OF THE SURVEILLANCE AND SECURITY LOGS I NOTICED A GAMING MACHINE MALFUNCTION ON THE EGM AT 1.61.04 WHICH WAS NOT REPORTED TO OLGR EITHER BY SURVEILLANCE OR ELECTRONIC GAMING. A PATRON HAD PLACED \$50 INTO THE EGM AND PRESSED PLAY. THE EGM THEN CONTINUED TO PLAY AND THE PATRON WAS UNABLE TO STOP IT. WHEN THE PATRONS FUNDS HAD BEEN REDUCED TO AROUND \$34 THE EGM STOPPED.

Action:

GAMING OPERATIONS SUPERVISER NP_49-Sch4 REQUESTED SURVEILLANCE REVIEW THE FOOTAGE TO COLLABORATE THE PATRONS COMPLAINT. THIS WAS REVIEWED BY SURVEILLANCE OPERATOR WHO CONFIRMED THE PATRON'S ACTIONS WERE CONSISTENT WITH HIS CLAIM. THE EGM WAS PUT OUT OF SERVICE, CONTACTED GAMING AREA MANAGER ADVISING HER OF THE INCIDENT AND SUGGESTED A SHORT PAY BE USED TO REIMBURSE THE PATRON.

GAMING MACHINE TECHNICIAN ATTENDED THE EGM. WHILE HE FOUND THAT THE KEYS HAD BEEN SET UP INCORRECTLY HE STATED THAT THIS DID NOT CAUSE THE PROBLEM. HIS REPORT DOES NOT STATE WHAT DID CAUSE IT.

OLGR WERE NOT ADVISED OF THE ABOVE INCIDENT BY EITHER SURVEILLANCE OR ELECTRONIC GAMING. THE INCORRECT SETUP OF THE KEYS WAS ALSO NOT REPORTED TO OLGR.

I REQUESTED INCIDENT REPORTS FROM (ATTACHED). REPORT STATES HE FOUND THAT THE KEYS HAD BEEN SET UP INCORRECTLY BUT THIS DID NOT CAUSE THE PROBLEM. HIS REPORT DID NOT STATE WHAT DID CAUSE IT SO I REQUESTED FURTHER INFORMATION (EMAIL RESPONSE ATTACHED). THIS RESPONSE STATED APART FROM THE KEY RECONFIGURATION HE DID A RAM CLEAR, TESTED THE BUTTONS AND WAS UNABLE TO FIND ANY ISSUE.

RECOMMENDATION

DISCUSS REPORTING REQUIREMENTS WITH SURVEILLANCE, ELECTRONIC GAMING AND EGM TECH MANAGEMENT.

Game: Amount Involved:

Outcomes Summary

Outcome	Date	Remedial Action	Issue Type	Issue Class
Operator Counselling Staff	27 MAY 2015	Discuss With Relevant Department	Other	Operator Non-Conformity
			Malfunction	Equipment Malfunction

Issues Summary

Issue Ref No	Issue Category	Issue Type	Issue Class	Issue Status	Closed Date
93828	Internal Controls Violations - Other	Other	Operator Non-Conformity	Closed	27 MAY 2015
94158	Electronic Gaming Machines Malfunction		Equipment Malfunction	Closed	27 MAY 2015

Issue Details

Michael Day

From: BRTC Gaming Techs <Gaming.Techs@EchoEnt.com.au>
Sent: Monday, 18 May 2015 7:27 AM
To: Michael Day
Cc: [redacted] BRTC Gaming Techs
Subject: RE: Attn: [redacted] re Incident Report EGM 1.61.04

Good morning Michael,

When I was first informed of the EGM, I was only told the buttons weren't working. It wasn't till I received your first email requesting further information, did I learn about the patron issues, when I queried the shift managers in regards to the EGM.

What I found wrong with the EGM when I attended, was the 14 buttons on the bottom under the display were working as per there assigned labels, but the 2 buttons above the display on the top right were assigned as "Not Used" when I conducted the button test in the audit menu. These 2 buttons were "Collect" & "Information". I have been previously informed by the Gaming Attendants that the EGM would still be able to "Collect" by pressing the Spin button.

Due to the above button issue, I ram cleared the EGM, and set the button layout for 16 Buttons. I then followed this up, by testing all the buttons and ensuring that the "Collect" & "Information" buttons were working, as well as checking all other buttons and switch's to ensure that no buttons were sticking.

I have had previous issues with the EGM's at this location with patrons jamming various objects into the Bet buttons, this in turn causes the EGM to continuously spin after each game, without further input being required. And most often, part of what they have used has broken off inside, as they removed the object holding the button down.

This then causes the next patron who uses the EGM to possibly have issues as the button may get jammed down, preventing the stopping of game play.

It is possible that this was the cause to the patron issues, but I found nothing wrong when I attended.

Regards,

NP_49-Sch4

From: Michael Day [mailto:Michael.Day@justice.qld.gov.au]
Sent: Friday, 15 May 2015 6:15 PM
To: BRTC Gaming Techs
Subject: Attn: [redacted] re Incident Report EGM 1.61.04

Hi [redacted]

Thank you for your incident report on EGM 1.61.04 following the patron claim on 5/05/2015.

Your report states the buttons were set up incorrectly but this was unlikely to have caused the problem where the EGM appeared to continue playing without the patron pressing any buttons. However, you did not state in your report what the problem was, or whether you were unable to determine the cause. Also, other than reprogramming the buttons, did you take any other actions with the EGM?

Please let me know whether you were able to determine the cause of the problem and any actions (other than reprogramming the keys) taken with the EGM.

Thanks

Casino Incident Details

Breaches				
Ref No	Act	Section	Breach Name	
1802	Casino Control Act 1982	S73(2)	CASINO OPERATIONS TO BE CONDUCTED UNDER APPROVED CONTROL SYSTEM	
Control Points				
Process Group	Process	Control Point		Frequency
Surveillance	Reporting and Record Keeping	Notification		
Remedial Actions				
Action Code	Action Details	Due Date	Outcome	Outcome Date
Discuss With Relevant Department	DISCUSS WITH SURVEILLANCE, TABLE GAMES AND GAMING TECHS	27 MAY 2015	Operator Counseled Staff	27 MAY 2015
People				
Person No.	Name	Involvement		
2818	NP_49-Sch4	Casino Staff Defendant		
11457		Casino Staff Defendant		
83222		Casino Staff Defendant		
188070		Casino Staff		
Supervisor Comments				
Supervisor: <input type="text"/>		Operator Performance Report: N		
<p>Comments: I WILL RAISE THE LACK OF REPORTING WITH <input type="text"/> AT OUR NEXT MONTHLY MEETING ON 26/5/15 AND FINALISE THIS IR THEN.</p> <p>MET WITH <input type="text"/> ON 26/5/15. <input type="text"/> AGREED HIS STAFF AND GAMING STAFF SHOULD HAVE ADVISED OLGR AND HAS COUNSELLED STAFF INVOLVED TO ALWAYS REPORT THESE MATTERS TO OLGR EVEN IF CONSIDERED IT MIGHT NOT BE REQUIRED. NFA.</p>				
Related Information				
Action Date	Action Type	Action Ref.	Action Officer	Notes
21 MAY 2015	File Notes	794512	Michael DAY	Incident reports from Table Games and Gaming Techs. Reporting requirements to be discussed with Table Games, Surveillance and Gaming Techs.
Attach Date	Attachment Type	Attachment Name		
21 MAY 2015	Miscellaneous	1523846 - BSFRN41141 INCIDENT REPORTS 20150521		
Action Date	Action Type	Action Ref.	Action Officer	Notes
27 MAY 2015	File Notes	795728	Michael DAY	On 26/05/2015 at 19:15 GOS <input type="text"/> advised that a patron had inserted a note into the EGM at 1.61.04 and the machine began playing by itself. Gaming advised the machine would be put out of service until the problem could be rectified.
				Attachment Name

Casino Incident Details

Casino:	Treasury Casino	Ref No.:	18499
Incident Date:	30 NOV 2014	Create Date:	03 DEC 2014
Incident Time:	11:30	Overall Risk Rating:	Medium
Inspector:	Paul LEGG	Closed Date:	10 FEB 2015
Source:		Audit Ref No:	
Brief Description: BR 73(2) CCA - MEDIUM RATED STAFF NON-CONFORMITY - FAILURE TO REPORT AN EQUIPMENT ISSUE RESULTING IN AN OVERPAYMENT - RAPID ROULETTE - INTERNAL CONTROLS			

Detailed Report

Background:

ON THE 30.11.2014 AT 22.01HRS A GAMING EQUIPMENT FAILURE OCCURRED ON RAPID ROULETTE TERMINAL 100. A VALID SPIN (WINNING #20) WAS REQUIRED TO BE ENTERED. THE ATTEMPTED ENTRY BY GAMING STAFF FAILED AND RESULTED IN A REBOOT OF THE SYSTEM. THIS ACTION IN TURN VOIDED THE SPIN AND AS A CONSEQUENCE MADE WINNING RETURNS TO LOSING WAGERS.

Action:

SURVEILLANCE DUTY MANAGER NP_49-Sch4 RECEIVED A CALL FOR GAMING DUTY MANAGER [REDACTED] ADVISING OF AN INCIDENT AT RAPID ROULETTE TERMINAL 100. THE GDM ADVISED A VALID SPIN WAS REQUIRED TO BE ENTERED. THE ENTRY WAS NOT MADE CORRECTLY. THE RESULT BEING THE SPIN WAS ACTUALLY VOIDED AND WINNINGS PAID TO LOSING WAGERS. AN OVERPAYMENT OF \$226.00 OCCURRED. A NOP FOR \$226.00 HAS SINCE BEEN LODGED WITH OLGR.

THIS INCIDENT DOES NOT APPEAR TO HAVE BEEN REPORTED TO OLGR. NO ENTRY COULD BE FOUND ON THE DAILY NARRATIVE LOG FOR THAT PARTICULAR GAMING DAY.

RECOMMENDATION

THE MATTER OF NOT BEING DULY ADVISED IS TAKEN UP WITH CASINO MANAGEMENT BY ARM PAUL KING.

Game: Amount Involved:

Outcomes Summary

Outcome	Date	Remedial Action	Issue Type	Issue Class
NFA	10 FEB 2015	Discuss With Casino Management	Roulette	Equipment Malfunction
Operator Counselling Staff	10 FEB 2015	Discuss With Casino Management	Surveillance	Staff Non-Conformity

Issues Summary

Issue Ref No	Issue Category	Issue Type	Issue Class	Issue Status	Closed Date
23392	Internal Controls Violations - Table Games	Roulette	Equipment Malfunction	Unsubstantiated	10 FEB 2015
23399	Internal Controls Violations - Other	Surveillance	Staff Non-Conformity	Closed	10 FEB 2015

Issue Details

Issue Ref No :	23392	Successful Control Point:	
Issue Category:	Internal Controls Violations - Table Games	Location:	CASINO LEVEL 1
Issue Type:	Roulette	Game:	Roulette
Issue Class:	Equipment Malfunction	Risk Rating:	Low
Issue Status:	Unsubstantiated	Amount:	226
Issue Date:	03 DEC 2014		
Closed Date :	10 FEB 2015		
Issue Summary:	BR 73(2) CCA - GAMING EQUIPMENT FAILURE - RAPID ROULETTE - LOW RATED - STAFF NON-CONFORMITY - INTER		
Issue Details:	BR 73(2) CCA - GAMING EQUIPMENT FAILURE - RAPID ROULETTE - LOW RATED - STAFF NON-CONFORMITY - INTERNAL CONTROLS		

Casino Incident Details

Breaches				
Ref No	Act	Section	Breach Name	
1783	Casino Control Act 1982	S73(2)	CASINO OPERATIONS TO BE CONDUCTED UNDER APPROVED CONTROL SYSTEM	
1783	Casino Control Act 1982	S73(2)	CASINO OPERATIONS TO BE CONDUCTED UNDER APPROVED CONTROL SYSTEM	

Control Points			
Process Group	Process	Control Point	Frequency
Surveillance	Reporting and Record Keeping	Notification	1

Remedial Actions				
Action Code	Action Details	Due Date	Outcome	Outcome Date
Discuss With Casino Management	PENDING	10 FEB 2015	NFA	10 FEB 2015
Discuss With Casino Management	STAFF TO BE COUNSELLED	31 DEC 2014	Operator Counselling Staff	10 FEB 2015

People		
Person No.	Name	Involvement
5929	NP_49-Sch4	Casino Staff
3931		Casino Staff
40474		Casino Staff

Supervisor Comments

Supervisor: Phill DUNLEVY

Operator Performance Report: N

Comments: NOTED. THE FAILURE TO REPORT THIS INCIDENT TO OLGR IS TO BE TAKEN UP WITH SURVEILLANCE MANAGEMENT.

Related Information				
Action Date	Action Type	Action Ref.	Action Officer	Notes
04 DEC 2014	File Notes	753242	Phill DUNLEVY	Paul King to discuss with Surveillance Management.
Attachment Name				
Action Date	Action Type	Action Ref.	Action Officer	Notes
10 FEB 2015	File Notes	768727	Phill DUNLEVY	Failure report the incident has been discussed with [redacted] and in general, reporting issues have been addressed with both [redacted] and they have counselled Sureveillance and Gaming staff accordingly. Continue to monitor and report where necessary.
After discussion with RM it was determined that no equipment malfunction occurred. NFA with this matter.				
Attachment Name				

Casino Audit Details

Casino:	Treasury Casino	Ref No.:	42373
Portfolio:	Gaming Revenue	Due Date:	31 JUL 2016
Audit Type:	Revenue Audit	Scheduled Date:	31 AUG 2016
Audit Category:	Gaming Machine Integrity	Date Started:	18 AUG 2016
Overall Risk Rating:	Low	Date Completed:	23 AUG 2016
Inspector:	Michael DAY	Date Finalised:	
Source:		Time Taken:	04:00
Incident Ref No.:			

Detailed Report

THE BI ANNUAL REVENUE AUDIT, GAMING MACHINE INTEGRITY AUDIT WAS SUCCESSFULLY CONDUCTED ON 18 AUGUST 2016.

THE VALUES OF THE LINKED PROGRESSIVE JACKPOTS READ ON 6/08/16, 13/08/16 AND 16/08/16 WERE COMPARED WITH THE PROGRESSIVE ACTIVITY SUMMARY REPORT. THIS REVIEW FOUND ONE INSTANCE WHERE THE VARIANCE EXCEEDED THE \$25 TOLERANCE BUT NO FURTHER ACTION HAD BEEN TAKEN. THE ICM SECTION H REQUIRES A VARIANCE OF GREATER THAN \$25 TO BE REPORTED TO THE SENIOR FINANCE OPERATIONS MANAGER AND OLGR. SEE ISSUE RAISED.

ASNP_49-Sch4 (REVENUE AUDIT SUPERVISOR) WAS PRESENT AT THE TIME OF THE AUDIT SHE WAS ABLE TO IMMEDIATELY COUNSEL THE RESPONSIBLE REVENUE AUDITOR AS WELL AS ARRANGE FOR THE METER TO BE RE-READ. ATTACHED IS A COPY OF THE LATEST READING FOR THIS JACKPOT SHOWING MINIMAL VARIANCE.

THE LINKED PROGRESSIVE METERS WERE MANUALLY READ BY THE OPERATOR AT LEAST ONCE PER WEEK.

THE AUDIT WAS ABLE TO CONFIRM THE INTERNAL DAILY CHECKLIST IS REVIEWED AND SIGNED BY A REVENUE AUDITOR ON A DAILY BASIS.

THE REVENUE AUDIT GAMING MACHINE MASTER SEAL LOG WAS REVIEWED, FINDING NO SEALS HAD BEEN ISSUED SINCE THE LAST AUDIT. THE GAMING MACHINES SEAL LOG WAS REVIEWED AND UNUSED SEALS CHECKED, FINDING ALL SEALS COULD BE ACCOUNTED FOR. NO CONCERNS WERE EVIDENT.

RELEVANT PAPERWORK IS ATTACHED

I HAVE USED THE CURRENT INSTRUCTIONS AND WORKSHEETS RECORDED IN EDOCS TO CONDUCT THIS AUDIT - DOCUMENT # 1342600.

Outcomes Summary

Outcome	Date	Remedial Action	Issue Type	Issue Class
Operator Counselling Staff	18 AUG 2016	Discuss With Relevant Department	Finance	Staff Non-Conformity

Staff

Licence No.	Person's Name	Role	Involvement
140213		Audit	Present During Audit

Issues Summary

Issue Ref No	Issue Category	Issue Type	Issue Class	Issue Status	Closed Date
154438	Internal Controls Violations - Other	Finance	Staff Non-Conformity	Closed	19 AUG 2016

Issues

Casino Audit Details

Issues

Issue Ref No:	154438	Successful Control Point:	
Issue Category:	Internal Controls Violations - Other	Location:	REVENUE AUDIT
Issue Type:	Finance	Game:	EGM
Issue Class:	Staff Non-Conformity	Risk Rating:	Low
Issue Status:	Closed	Amount:	
Issue Date:	16 AUG 2016	Module:	EGM Linked Progressive Jackpot
Closed Date:	19 AUG 2016		Meter Readings
Issue Summary:	BR 73(2) CCA - VARIANCE EXCEEDING TOLERANCE NOT REPORTED - LOW RATED STA		
Issue Details:	Revenue Auditor NP_49-Sch4 failed to identify a variance of greater than \$25 in the Dinomight Mega linked progressive jackpot on gaming date 15/08/16 and therefore did not notify OLGR or the Senior Finance Operations Manager.		

Breaches

Ref No	Act	Section	Breach Name
1783	Casino Control Act 1982	S73(2)	CASINO OPERATIONS TO BE CONDUCTED UNDER APPROVED CONTROL SYSTEM

Control Points

Process Group	Process	Control Point	Fqcy
Revenue Audit	EGMs	Staff Action	1

Remedial Actions

Action Code	Action Details	Due Date	Outcome	Outcome Date
Discuss With Relevant Department	TO BE DISCUSSED WITH REVENUE AUDIT	18 AUG 2016	Operator Counselling Staff	18 AUG 2016

Person Involved

Ref No	Name	Licence Type	Involvement
124400		UPGRADE TO CASINO KEY EMPLOYEE	Defendant

Modules

Module	Comments
Gaming Machine Revenue Report	
Seals Recorded by Revenue Audit	
EGM Linked Progressive Jackpot Meter Readings	

Activities

Scheduled	Completed	Module	Officer	Activity Details
31 AUG 2016	18 AUG 2016	EGM Linked Progressive Jackpot Meter Readings	Michael DAY	VARIANCE EXCEEDING TOLERANCE NOT REPORTED
31 AUG 2016	22 AUG 2016	Seals Recorded by Revenue Audit	Michael DAY	NO ISSUES
31 AUG 2016	23 AUG 2016	Gaming Machine Revenue Report	Michael DAY	NO ISSUES

Supervisor Comments

Supervisor: Richard FINNEY

Comments: AS NOTED IN CO DAY'S REPORT ONE ISSUE WAS IDENTIFIED. THE INCIDENT WAS BROUGHT TO THE ATTENTION OF THE RELEVANT CASINO MANAGEMENT AND CORRECTLY DEALT WITH. THE ISSUE WAS IDENTIFIED & RECORDED IN COGS CORRECTLY.

NFA.

Related Information

Action Date	Action Type	Action Ref.	Action Officer	Notes
23 AUG 2016	File Notes	935171	Michael DAY	Audit Worksheets
Attach Date	Attachment Type	Attachment Name		
23 AUG 2016	Miscellaneous	1616948 - BSFRN42373 AUDIT WORKSHEETS 20160818		



**Casino Audit File Closure Cover Sheet
Office of Liquor and Gaming Regulation**

CASINO:	JUP	TRS	TVL	CNS
Audit Portfolio:	Gaming Revenue			
Audit Type:	Revenue Audit			
Audit Category:	Gaming Machine Integrity			
File Ref #:	42373			

Compliance Officer to complete below sections					
Compliance Officer:	Michael Day (204)				
Audit Due Date:	31/07/2016	Reference	ICM	Section H	
Date Completed:	23/08/2016		Legislation	Casino Control Act 1982	
Person/s involved noted in COGS Audit:			Yes	<input checked="" type="checkbox"/>	
Has the correct number of activities per the audit instruction been completed			Yes	<input checked="" type="checkbox"/>	No <input type="checkbox"/>
Any previous audit findings followed up during audit			Yes	<input type="checkbox"/>	No <input type="checkbox"/> N/A <input checked="" type="checkbox"/>
All Audit Findings and Recommendations sufficiently documented and supported			Yes	<input checked="" type="checkbox"/>	
If the audit wasn't completed by the due date or a "No" has been recorded above, reasons must be documented below for review.					
Reasons: Audit not completed by due date due to commencement of new audits from July 1 requiring more time to learn then complete the first time.					

Audit Issues					
The below sections MUST be completed when issues have been identified. (Regional Services Branch - Casino Audit & Incident Escalation Guideline - eDocs 1303918)					
All issues recorded in COGS Audit			Yes	<input checked="" type="checkbox"/>	
Are all Audit Issue fields correctly completed? (Category, Type, Class, Module, Risk Rating etc.)			Yes	<input checked="" type="checkbox"/>	
Successful and/or failed controls points, if applicable, correctly applied. If "No" document your explanation below.			Yes	<input checked="" type="checkbox"/>	No <input type="checkbox"/>
All relevant Breaches Recorded			Yes	<input checked="" type="checkbox"/>	
Casino Management or Department advised? If "No" document your explanation below.	Date: 18/8/16	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>
Tick the relevant method, note the "Other" method used	Exit Interview	<input checked="" type="checkbox"/>	Other	<input type="checkbox"/>	

JACKPOT READING FORM (Revised 26/07/2016)

Read Date:

16, 8, 2016

Revenue Date:

15, 8, 16

DAY 2/9/16/23/30

Grand
or Mega
or Jackpot

Major
or Maxi
or Crackerjack

Maxi or
Minor
or Midl

Mini
or Skyrocket

1.81.04	19,461.69 ✓ 1157 - T-Cash Explosion Grand			
1.49.05 (Look at the TV screen on top of this location, don't look at the machine)		726.17 ✓ 1231 - Jackpot 2 L2		342.75 ✓ 1232 - Jackpot 2 L1
1.52.01	16,652.50 ✓ 12 - 9 Spot Keno			
1.17.04				11.50 ✓ 17 - Jackpot Carnival B Mini
1.60.02	26,194.71 ✓ 15230 - Jetsetter Xtr/Ch MEGA			
1.73.01		3,229.52 ✓ 20990 - T-High Velocity GP Maxi		100.89 ✓ 20980 - T High Velocity GP Mini
1.79.01	5,784.37 ✓ 14700 - Fast Lane No2 Grand	806.82 ✓ 14710 - Fast Lane No2 Major	40.44 ✓ 14720 - Fast Lane No2 Minor	15.42 ✓ 14730 - Fast Lane No2 Mini
1.80.06	62,760.99 ✓ 19990 - T-Money Chimes Mega	1,032.35 ✓ 20000 - T-Money Chimes Maxi	238.91 ✓ 20010 - T-Money Chimes Mini	
1.88.01	10,876.76 ✓ 1347 - T-Cash Adventure			
1.90.01	76,844.04 ✓ 16 - Dinomight Mega	10,423.64 ✓ 18 - Dinomight Maxi	301.84 ✓ 19 - Dinomight Mini	
1.89.01	769,538.53 ✓ 26137 - Golden Dollars Grand	1,037.83 ✓ 15790 - Golden Dollars Major	255.33 ✓ 15800 - Golden Dollars Mini	

Signature :

Licence: #

NP_49-Sch4

16/8/16.

JACKPOT READING FORM (Revised 12/08/2016)

Read Date: 13/8/2016

Revenue Date: 12/8/2016

	Grand or Mega or Jackpot	Major or Maxi or Crackerjack	Maxi or Minor or Midi	Mini or Skyrocket
DAY 6 / 13 / 20 / 27				
3.13.01				41.16 ✓ 387 - MoneyTrain Mini I
1.37.01	5,519.60 ✓ 25855-Pirates Revenge Grand	731.98 ✓ 25865-Pirates Revenge Major	155.12 ✓ 25875-Pirates Revenge Minor	24.92 ✓ 25885-Pirates Revenge Mini
1.44.11	30,873.11 ✓ 1367-T-888 Dragon A600 Set 7			
2.35.02	5,404.96 ✓ 1331 - T-Jetsetter Link 1 Mega			
2.30.01		12,104.41 ✓ 10413 - Money Chimes Major	209.61 ✓ 10423 - Money Chimes Maxi	58.96 ✓ 10433 - Money Chimes Mini
2.65.02	19,081.27 ✓ 1391 - T-Duo Fu Wa Grand 2c	1,765.62 ✓ 1393 - T-Duo Fu Wa Major 2c	133.87 ✓ 1394 - T-Duo Fu Wa Minor 2c	84.80 ✓ 1395 - T-Duo Fu Wa Mini 2c
2.22.01	11,394.03 ✓ 6600 - T-Jackpot General Grand			
2.65.01	1,790.91 ✓ 23280 - Rio Grande - Grand	901.73 ✓ 23290 - Rio Grande - Major	163.72 ✓ 23300 - Rio Grande - Minor	
2.51.06	6,229.52 ✓ 1308 - T-Cash Express - Grand	1,266.94 ✓ 1309 - T-Cash Express - Major	39.07 ✓ 1311 - T-Cash Express - Minor	44.67 ✓ 1312 - T-Cash Express - Mini
2.18.01	21,525.49 ✓ 20260 T-Plys Paradise Grnd 5c Set 7			
2.32.04	13,172.66 ✓ 6470 T-Big Shot Grand			

Signature :

Licence: #

18/8/16

JACKPOT READING FORM (Revised 26/07/2016)

Read Date:

6/8/16

Revenue Date:

5/8/16

DAY 6/13/20/27

Grand
or Mega
or Jackpot

Major
or Maxi
or Crackerjack

Maxi or
Minor
or Midi

Mini
or Skyrocket

3.13.01				36-16 ✓ 367 - MoneyTrain Mini I
1.37.01	5333-21 ✓ 25855-Pirates Revenge Grand	1074-53 ✓ 25865-Pirates Revenge Major	161-04 ✓ 25875-Pirates Revenge Minor	21-31 ✓ 25885-Pirates Revenge Mini
1.44.11	30618-58 ✓ 1367-T-888 Dragon A600 Set 7c			
2.35.02	4757-02 ✓ 1331 - T-Jetsetter Link 1 Mega			
2.30.01		10848-07 ✓ 10413 - Money Chimes Major	328-03 ✓ 10423 - Money Chimes Maxi	58.77 ✓ 10433 - Money Chimes Mini
2.65.02	18991-14 ✓ 1391 - T-Duo Fu Wa Grand 2c	1780-39 ✓ 1393 - T-Duo Fu Wa Major 2c	152-54 ✓ 1394 - T-Duo Fu Wa Minor 2c	51-57 ✓ 1395 - T-Duo Fu Wa Mini 2c
2.22.01	11101-06 ✓ 6800 - T-Jackpot General Grand			
2.65.01	1660-70 ✓ 23280 - Rio Grande - Grand	752-92 ✓ 23290 - Rio Grande - Major	8413 ✓ 23300 - Rio Grande - Minor	
2.51.06	6165-29 ✓ 1308 - T Cash Express - Grand	1137-58 ✓ 1309 - T Cash Express - Major	34-07 ✓ 1311 - T Cash Express - Minor	63.98 ✓ 1312 - T Cash Express - Mini
2.18.01	20408-61 ✓ 20260 T-Plys Paradise Grand 5c Set 7			
2.32.04	12840-79 ✓ 6470 T-Big Shot Grand			

Signature

Licence: #

NP_49-Sch4

18/8/16

JACKPOT READING FORM (Revised 22/08/2016)

Read Date: 23/8/16
Grand
or Mega
or Jackpot

Major
or Maxi
or Crackerjack

Revenue Date: 22/8/16
Maxi or
Minor
or Midi

Mini
or Skyrocket

DAY 2/9/16/23

1.17.04				10.14 ✓ 17 - Jackpot Carnival B Mini
1.37.01	5734.75 ✓ 25855-Pirates Revenge Grand	694.07 ✓ 25855-Pirates Revenge Major	150.27 ✓ 25875-Pirates Revenge Minor	13.23 ✓ 25885-Pirates Revenge Mini
1.44.11	31,193.66 ✓ 1387-T-888 Dragon A600 Set 7			
1.49.05 (Look at the TV screen on top of this location, don't look at the machine)		809.18 ✓ 1231 - Jackpot 2 L2		416.07 ✓ 1232 - Jackpot 2 L1
1.52.01	17,877. ✓ 12 - 9 Spot Keno			
1.60.02	25,133. ✓ 15230 - Jokester Xlr/Ch MEGA			
1.73.01		3,020.36 ✓ 20990 - T-High Velocity GP Maxi		116.09 ✓ 20990 - T-High Velocity GP Mini
1.79.01	5,934.31 ✓ 14700 - Fast Lane No2 Grand	889.91 ✓ 14710 - Fast Lane No2 Major	48.86 ✓ 14720 - Fast Lane No2 Minor	10.81 ✓ 14730 - Fast Lane No2 Mini
1.80.06	63,417.44 ✓ 19990 - T-Money Chimes Mega	1,533.97 ✓ 20000 - T-Money Chimes Maxi	232.72 ✓ 20010 - T-Money Chimes Mini	
1.81.04	19,660.90 ✓ 1167 - T-Cash Explosion Grand			
1.88.01	845,425.50 ✓ 1347 - T-Cash Adventure	1,724.81 ✓ 15790 - Golden Dollars Major		
1.89.01	845,426.76 ✓ 26137 - Golden Dollars Grand	2,099.91 ✓ 15790 - Golden Dollars Major	319.36 ✓ 15800 - Golden Dollars Mini	
1.90.01	83,960.23 ✓ 16 - Dinomight Mega	17,810.10 ✓ 18 - Dinomight Maxi	331.13 ✓ 19 - Dinomight Mini	

NP_49-Sch4

Signature : ...

ReadTime

Start

Finish:

1402173

KCMS EGM AUDIT CHECKLIST		
		DATE 13/08/2016
	TASK	CHECK
1	Preliminary Reports	/
2	Daily Meters Report	/
3	Daily Tracker (coordinate with Finance Analyst)	NA
4	JMS -> Pool Move -> Check TM1	/
5	Decline CCVs	/
6	Decline Ack Transactions in KCMS	/
7	Pay all Pay Transactions in KCMS	/
8	Decline Tickets (Care!! Do not decline current day tickets)	/
9	Duplicate Transactions	/
10	Manual APVs	/
11	Drop Mode Report	/
12	JPCC Buy Summary	/
13	Jackpot Reading Form	NA
14	Enter Cage Figures	/
15	EGM Seals	/
16	Conversion Check	NA
17	Jackpots (over \$10,000) and APV (5) Signature check	/
18	Hard Count	NA
19	Soft Count	/
20	Check Grave JPCC Buy	/
21	Finalise	/
22	Daily Update	/
23	Print final reports	/
24	BT EG Upload	/
25	Smiley Face	/
26	Daily Drop Variance Spreadsheet	/
27	EGM Data Check (Enter Declined amount from Vouchers Sequence Report)	/
28	EGM Variance Checklist	/
29	Note Drop Variance	NA
30	Coin Drop Variance	/
31	Ticket Drop Variance	NA
32	Stacker Dispute	/
33	FATG	/
34	TAB	NA
35	NOP	NA
36	DMS	/
37	Send Daily Reports to OLGR	/
38	EGM Oracle Journal	/

Signature

Lic#

EGM VARIANCE CHECKLIST		
		DATE 13/08/2016
	TASK	CHECK
1	Run Soft Count Detail in Count Track Calendar date(4:00am - present time)	
2	Filter Var Total column for variance +/- \$25	
3	Confirm variance slip received from Count Team with supervisor signature (sign variance slip and printed copy)	
4	Complete EGM until BT EG cube upload	
5	Print KCMS Variance Reports <>\$0	
6	Explain variances +/- \$25 on reports (check TM1 Log and KCMS Bills In Report)	
7	Check Note variances +/- \$25 on variance log from TM1	
8	Confirm meter drop are equal to KCMS bills in report	
9	Run All Audit Operations in Count Track Audit Operations by Calendar date(4:00am-present)	
10	Check for Note Accepted Outside of Threshold \$-25 value	
11	Confirm Note Collection Counted - the Note Accepted Variance Outside Threshold value is same as KCMS bills in on the Bills In Report for Asset number	
12	If not same check for Note Stacker Emergency Paperwork/Disputes/Short Pays/Pending	
13	Unexplained Variances to be advised to Count, Gaming Technicians and Audit Reports forwarded to Jeanette Savage	
14	Check all variances from Gaming Machine Log that were re- counted as requested	
15	Enter explanation from audit reports returned from Gaming Technicians into log	
16	Complete Audit Report for any unresolved variances to Jeanette	

Signature

Lic#

KCMS EGM AUDIT CHECKLIST		
		DATE 8/08/2016
	TASK	CHECK
1	Preliminary Reports	/
2	Daily Meters Report	/
3	Daily Tracker (coordinate with Finance Analyst)	/
4	JMS -> Pool Move -> Check TM1	/
5	Decline CCVs	/
6	Decline Ack Transactions in KCMS	/
7	Pay all Pay Transactions in KCMS	/
8	Decline Tickets (Care!! Do not decline current day tickets)	/
9	Duplicate Transactions	/
10	Manual APVs	/
11	Drop Mode Report	/
12	JPCC Buy Summary	/
13	Jackpot Reading Form	n/a
14	Enter Cage Figures	/
15	EGM Seals	/
16	Conversion Check	/
17	Jackpots (over \$10,000) and APV (5) Signature check	/
18	Hard Count	n/a
19	Soft Count	/
20	Check Grave JPCC Buy	/
21	Finalise	/
22	Daily Update	/
23	Print final reports	/
24	BT EG Upload	/
25	Smiley Face	/
26	Daily Drop Variance Spreadsheet	/
27	EGM Data Check (Enter Declined amount from Vouchers Sequence Report)	/
28	EGM Variance Checklist	/
29	Note Drop Variance	/
30	Coin Drop Variance	n/a
31	Ticket Drop Variance	/
32	Stacker Dispute	n/a
33	FATG	/
34	TAB	n/a
35	NOP	n/a
36	DMS	/
37	Send Daily Reports to OLGR	/
38	EGM Oracle Journal	/

Signature

Lic#

NP_49-Sch4

EGM VARIANCE CHECKLIST		
		DATE 8/08/2016
	TASK	CHECK
1	Run Soft Count Detail in Count Track Calendar date(4:00am - present time)	<input checked="" type="checkbox"/>
2	Filter Var Total column for variance +/- \$25	<input checked="" type="checkbox"/>
3	Confirm variance slip received from Count Team with supervisor signature (sign variance slip and printed copy)	<input checked="" type="checkbox"/>
4	Complete EGM until BT EG cube upload	<input checked="" type="checkbox"/>
5	Print KCMS Variance Reports <>\$0	<input checked="" type="checkbox"/>
6	Explain variances +/- \$25 on reports (check TM1 Log and KCMS Bills In Report)	<input checked="" type="checkbox"/>
7	Check Note variances +/- \$25 on variance log from TM1	<input checked="" type="checkbox"/>
8	Confirm meter drop are equal to KCMS bills in report	<input checked="" type="checkbox"/>
9	Run All Audit Operations in Count Track Audit Operations by Calendar date(4:00am-present)	<input checked="" type="checkbox"/>
10	Check for Note Accepted Outside of Threshold \$-25 value	<input checked="" type="checkbox"/>
11	Confirm Note Collection Counted - the Note Accepted Variance Outside Threshold value is same as KCMS bills in on the Bills In Report for Asset number	<input checked="" type="checkbox"/>
12	If not same check for Note Stacker Emergency Paperwork/Disputes/Short Pays/Pending	<input checked="" type="checkbox"/>
13	Unexplained Variances to be advised to Count, Gaming Technicians and Audit Reports forwarded to Jeanette Savage	<input checked="" type="checkbox"/>
14	Check all variances from Gaming Machine Log that were re- counted as requested	<input checked="" type="checkbox"/>
15	Enter explanation from audit reports returned from Gaming Technicians into log	<input checked="" type="checkbox"/>
16	Complete Audit Report for any unresolved variances to Jeanette	<input checked="" type="checkbox"/>

Signature

NP_49-Sch4

KCMS EGM AUDIT CHECKLIST		
		DATE 10/08/2016
	TASK	CHECK
1	Preliminary Reports	/
2	Daily Meters Report	/
3	Daily Tracker (coordinate with Finance Analyst)	/
4	JMS -> Pool Move -> Check TM1	NA
5	Decline CCVs	/
6	Decline Ack Transactions in KCMS	/
7	Pay all Pay Transactions in KCMS	/
8	Decline Tickets (Care!! Do not decline current day tickets)	/
9	Duplicate Transactions	/
10	Manual APVs	/
11	Drop Mode Report	/
12	JPCC Buy Summary	/
13	Jackpot Reading Form	/
14	Enter Cage Figures	NA
15	EGM Seals	/
16	Conversion Check	/
17	Jackpots (over \$10,000) and APV (5) Signature check	NA
18	Hard Count	NA
19	Soft Count	NA
20	Check Grave JPCC Buy	/
21	Finalise	/
22	Daily Update	/
23	Print final reports	/
24	BT EG Upload	/
25	Smiley Face	/
26	Daily Drop Variance Spreadsheet	/
27	EGM Data Check (Enter Declined amount from Vouchers Sequence Report)	/
28	EGM Variance Checklist	/
29	Note Drop Variance	/
30	Coin Drop Variance	NA
31	Ticket Drop Variance	NA
32	Stacker Dispute	NA
33	FATG	NA
34	TAB	/
35	NOP	/
36	DMS	NA
37	Send Daily Reports to OLGR	/
38	EGM Oracle Journal	/

Signature

Lic#

NP_49-Sch4

EGM VARIANCE CHECKLIST

DATE 10/08/2016

	TASK	CHECK
1	Run Soft Count Detail in Count Track Calendar date(4:00am - present time)	
2	Filter Var Total column for variance +/- \$25	
3	Confirm variance slip received from Count Team with supervisor signature (sign variance slip and printed copy)	
4	Complete EGM until BT EG cube upload	
5	Print KCMS Variance Reports <>\$0	
6	Explain variances +/- \$25 on reports (check TM1 Log and KCMS Bills In Report)	
7	Check Note variances +/- \$25 on variance log from TM1	
8	Confirm meter drop are equal to KCMS bills in report	
9	Run All Audit Operations in Count Track Audit Operations by Calendar date(4:00am-present)	
10	Check for Note Accepted Outside of Threshold \$-25 value	
11	Confirm Note Collection Counted - the Note Accepted Variance Outside Threshold value is same as KCMS bills in on the Bills In Report for Asset number	
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16	Complete Audit Report for any unresolved variances to Jeanette	

Signature

Lic#

NP_49-Sch4

NO DROP

NEW ISSUE TO ELECTRONIC GAMING

RECEIPT FROM SUPPLIER			NEW ISSUE TO ELECTRONIC GAMING			ISSUED BY *		RECEIVED BY **	STOCK HELD BALANCE	MONTHLY STAKE INTL/LIC
DATE	SEAL NO(S)	# REC'D	RECEIVED BY *	SEAL NO(S)	# ISSD					
31-1-14				20701 - 21000	300				0	31-1-14 @
11-2-14	0001 - 5000	5000							5000	0000 11-2-14
20-3-14	0001 - 1000	1000		0001 - 1000	1000				4000	0000 24-2-14
16-8-14				1001 - 1000	1000				3000	0000 31-3-14
29-3-15				2001 - 2000	1000				2000	0000 30-4-14
3-12-15				3001 - 4000	1000				1000	0000 24-5-14
20-2-16				4001 - 5000	1000				0	0000 30-6-14
2-14-16	366001 -	1000							1000	0000 29-7-14
35/05/16	367000	1000								0000 27-8-14
	100001 -									0000 28-9-14
	101000								2000	0000 21-10-14
										0000 30-11-14
										0000 27-12-14
										0000 30-1-15
										0000 25-2-15
										0000 25-3-15
										0000 2-12-15

NP_49-Sch4

18/8/16.

RTI 170601 - File01 - Page 85



Casino Audit Details

Casino:	Treasury Casino	Ref No.:	42373
Portfolio:	Gaming Revenue	Due Date:	31 JUL 2016
Audit Type:	Revenue Audit	Scheduled Date:	31 AUG 2016
Audit Category:	Gaming Machine Integrity	Date Started:	18 AUG 2016
Overall Risk Rating:	Low	Date Completed:	23 AUG 2016
Inspector:	Michael DAY	Date Finalised:	
Source:		Time Taken:	04:00
Incident Ref No.:			

Detailed Report

THE BI ANNUAL REVENUE AUDIT, GAMING MACHINE INTEGRITY AUDIT WAS SUCCESSFULLY CONDUCTED ON 18 AUGUST 2016.

THE VALUES OF THE LINKED PROGRESSIVE JACKPOTS READ ON 6/08/16, 13/08/16 AND 16/08/16 WERE COMPARED WITH THE PROGRESSIVE ACTIVITY SUMMARY REPORT. THIS REVIEW FOUND ONE INSTANCE WHERE THE VARIANCE EXCEEDED THE \$25 TOLERANCE BUT NO FURTHER ACTION HAD BEEN TAKEN. THE ICM SECTION H REQUIRES A VARIANCE OF GREATER THAN \$25 TO BE REPORTED TO THE SENIOR FINANCE OPERATIONS MANAGER AND OLGR. SEE ISSUE RAISED.

ASNP_49-Sch4 (REVENUE AUDIT SUPERVISOR) WAS PRESENT AT THE TIME OF THE AUDIT SHE WAS ABLE TO IMMEDIATELY COUNSEL THE RESPONSIBLE REVENUE AUDITOR AS WELL AS ARRANGE FOR THE METER TO BE RE-READ. ATTACHED IS A COPY OF THE LATEST READING FOR THIS JACKPOT SHOWING MINIMAL VARIANCE.

THE LINKED PROGRESSIVE METERS WERE MANUALLY READ BY THE OPERATOR AT LEAST ONCE PER WEEK.

THE AUDIT WAS ABLE TO CONFIRM THE INTERNAL DAILY CHECKLIST IS REVIEWED AND SIGNED BY A REVENUE AUDITOR ON A DAILY BASIS.

THE REVENUE AUDIT GAMING MACHINE MASTER SEAL LOG WAS REVIEWED, FINDING NO SEALS HAD BEEN ISSUED SINCE THE LAST AUDIT. THE GAMING MACHINES SEAL LOG WAS REVIEWED AND UNUSED SEALS CHECKED, FINDING ALL SEALS COULD BE ACCOUNTED FOR. NO CONCERNS WERE EVIDENT.

RELEVANT PAPERWORK IS ATTACHED

I HAVE USED THE CURRENT INSTRUCTIONS AND WORKSHEETS RECORDED IN EDOCS TO CONDUCT THIS AUDIT - DOCUMENT # 1342600.

Outcomes Summary

Outcome	Date	Remedial Action	Issue Type	Issue Class
Operator Counsellor Staff	18 AUG 2016	Discuss With Relevant Department	Finance	Staff Non-Conformity

Staff

Licence No.	Person's Name	Role	Involvement
140213		Audit	Present During Audit

Issues Summary

Issue Ref No	Issue Category	Issue Type	Issue Class	Issue Status	Closed Date
154438	Internal Controls Violations - Finance Other		Staff Non-Conformity	Closed	19 AUG 2016

Issues



Casino Audit Details

Issues

Issue Ref No: 154438 Successful Control Point:
 Issue Category: Internal Controls Violations - Other Location: REVENUE AUDIT
 Issue Type: Finance Game: EGM
 Issue Class: Staff Non-Conformity Risk Rating: Low
 Issue Status: Closed Amount:
 Issue Date: 16 AUG 2016 Module: EGM Linked Progressive Jackpot
 Closed Date: 19 AUG 2016 Meter Readings
 Issue Summary: BR 73(2) CCA - VARIANCE EXCEEDING TOLERANCE NOT REPORTED - LOW RATED STA
 Issue Details: Revenue Auditor NP_49-Sch4 failed to identify a variance of greater than \$25 in the Dinomight Mega linked progressive jackpot on gaming date 15/08/16 and therefore did not notify OLGR or the Senior Finance Operations Manager.

Breaches

Ref No	Act	Section	Breach Name
1783	Casino Control Act 1982	S73(2)	CASINO OPERATIONS TO BE CONDUCTED UNDER APPROVED CONTROL SYSTEM

Control Points

Process Group	Process	Control Point	Fqcy
Revenue Audit	EGMs	Staff Action	1

Remedial Actions

Action Code	Action Details	Due Date	Outcome	Outcome Date
Discuss With Relevant Department	TO BE DISCUSSED WITH REVENUE AUDIT	18 AUG 2016	Operator Counselling Staff	18 AUG 2016

Person Involved

Ref No	Name	Licence Type	Involvement
124400		UPGRADE TO CASINO KEY EMPLOYEE	Defendant

Modules

Module	Comments
Gaming Machine Revenue Report	
Seals Recorded by Revenue Audit	
EGM Linked Progressive Jackpot Meter Readings	

Activities

Scheduled	Completed	Module	Officer	Activity Details
31 AUG 2016	18 AUG 2016	EGM Linked Progressive Jackpot Meter Readings	Michael DAY	VARIANCE EXCEEDING TOLERANCE NOT REPORTED
31 AUG 2016	22 AUG 2016	Seals Recorded by Revenue Audit	Michael DAY	NO ISSUES
31 AUG 2016	23 AUG 2016	Gaming Machine Revenue Report	Michael DAY	NO ISSUES

Supervisor Comments

Supervisor: Richard FINNEY

Comments: AS NOTED IN CO DAY'S REPORT ONE ISSUE WAS IDENTIFIED. THE INCIDENT WAS BROUGHT TO THE ATTENTION OF THE RELEVANT CASINO MANAGEMENT AND CORRECTLY DEALT WITH. THE ISSUE WAS IDENTIFIED & RECORDED IN COGS CORRECTLY.

NFA.

Related Information

Action Date	Action Type	Action Ref.	Action Officer	Notes
23 AUG 2016	File Notes	935171	Michael DAY	Audit Worksheets
Attach Date	Attachment Type	Attachment Name		
23 AUG 2016	Miscellaneous	1616948 - BSFRN42373 AUDIT WORKSHEETS 20160818		

JACKPOT READING FORM (Revised 26/07/2016)

Read Date:

16, 8, 2016

Revenue Date:

15, 8, 16

DAY 2/9/16/23/30

	Grand or Mega or Jackpot	Major or Maxi or Crackerjack	Maxi or Minor or Midi	Mini or Skyrocket
1.81.04	19,461.69 ✓ 1157 - T-Cash Explosion Grand			
1.49.05 (Look at the TV screen on top of this location, don't look at the machine)		726.17 ✓ 1231 - Jackpot 2 L2		342.75 ✓ 1232 - Jackpot 2 L1
1.52.01	16,652.50 ✓ 12 - 9 Spot Keno			
1.17.04				11.50 ✓ 17 - Jackpot Carnival B Mini
1.60.02	26,194.71 ✓ 15230 - Jetsetter Xtr/Ch MEGA			
1.73.01		3,229.52 ✓ 20990 - T-High Velocity GP Maxi		100.89 ✓ 20980 - T-High Velocity GP Mini
1.79.01	5,784.37 ✓ 14700 - Fast Lane No2 Grand	806.82 ✓ 14710 - Fast Lane No2 Major	40.44 ✓ 14720 - Fast Lane No2 Minor	15.42 ✓ 14730 - Fast Lane No2 Mini
1.80.06	62,760.99 ✓ 19990 - T-Money Chimes Mega	1,032.35 ✓ 20000 - T-Money Chimes Maxi	238.91 ✓ 20010 - T-Money Chimes Mini	
1.88.01	10,876.76 ✓ 1347 - T-Cash Adventure			
1.90.01	76,844.04 ✓ 16 - Dinomight Mega	10,423.64 ✓ 18 - Dinomight Maxi	301.84 ✓ 19 - Dinomight Mini	
1.89.01	769,538.53 ✓ 25137 - Golden Dollars Grand	1,037.83 ✓ 15790 - Golden Dollars Major	255.33 ✓ 15800 - Golden Dollars Mini	

NP_49-Sch4

Signature :

Licence: #.

16/8/16.

JACKPOT READING FORM (Revised 12/08/2016)

Read Date: 13/8/2016

Revenue Date: 12/8/2016

DAY 6/13/20/27

Grand
or Mega
or Jackpot

Major
or Maxi
or Crackerjack

Maxi or
Minor
or Midi

Mini
or Skyrocket

3.13.01				41.16 ✓
	367 - MoneyTrain Mini I			
1.37.01	5,519.60 ✓ 25855-Pirates Revenge Grand	731.98 ✓ 25865-Pirates Revenge Major	155.12 ✓ 25875-Pirates Revenge Minor	24.92 ✓ 25885-Pirates Revenge Mini
1.44.11	30,873.11 ✓ 1367-T-888 Dragon A600 Set 7			
2.35.02	5,404.96 ✓ 1331 - T-Jetsetter Link 1 Mega			
2.30.01		12,104.41 ✓ 10413 - Money Chimes Major	209.61 ✓ 10423 - Money Chimes Maxi	58.96 ✓ 10433 - Money Chimes Mini
2.65.02	19,081.27 ✓ 1391 - T-Duo Fu Wa Grand 2c	1,765.62 ✓ 1393 - T-Duo Fu Wa Major 2c	133.87 ✓ 1394 - T-Duo Fu Wa Minor 2c	54.80 ✓ 1395 - T-Duo Fu Wa Mini 2c
2.22.01	11,394.03 ✓ 6600 - T-Jackpot General Grand			
2.65.01	1,790.91 ✓ 23280 - Rio Grande - Grand	901.73 ✓ 23280 - Rio Grande - Major	163.72 ✓ 23300 - Rio Grande - Minor	
2.51.06	6,229.52 ✓ 1308 - T-Cash Express - Grand	1,266.94 ✓ 1309 - T-Cash Express - Major	39.07 ✓ 1311 - T-Cash Express - Minor	44.67 ✓ 1312 - T-Cash Express - Mini
2.18.01	21,525.49 ✓ 20260 - T-Piys Paradise Grnd 5c Set 7			
2.32.04	13,172.66 ✓ 6470 T-Big Shot Grand			

NP_49-Sch4

Signature :.....

Licence: #.....

18/8/16.

JACKPOT READING FORM (Revised 26/07/2016)

Read Date: 6, 8, 11, 16

Revenue Date: 5, 8, 11, 16

DAY 6/13/20/27

	Grand or Mega or Jackpot	Major or Maxi or Crackerjack	Maxi or Minor or Midi	Mini or Skyrocket
3.13.01				36-16 ✓ 367 - Money Train Mini I
1.37.01	5333-21 ✓ 25855-Pirates Revenge Grand	1074-53 ✓ 25865-Pirates Revenge Major	161-04 ✓ 25875-Pirates Revenge Minor	21-31 ✓ 25885-Pirates Revenge Mini
1.44.11	30618-58 ✓ 1367-T-888 Dragon A600 Set 7			
2.35.02	4757-02 ✓ 1331 - T-Jetsetter Link 1 Mega			
2.30.01		10848-07 ✓ 10413 - Money Chimes Major	328-03 ✓ 10423 - Money Chimes Maxi	58-77 ✓ 10433 - Money Chimes Mini
2.65.02	18991-14 ✓ 1391 - T-Duo Fu Wa Grand 2c	1780-39 ✓ 1393 - T-Duo Fu Wa Major 2c	152-54 ✓ 1394 - T-Duo Fu Wa Minor 2c	51-52 ✓ 1395 - T-Duo Fu Wa Mini 2c
2.22.01	11101-06 ✓ 6600 - T-Jackpot General Grand			
2.65.01	1660-70 ✓ 23280 - Rio Grande - Grand	752-92 ✓ 23290 - Rio Grande - Major	84-13 ✓ 23300 - Rio Grande - Minor	
2.51.06	6165-29 ✓ 1308 - T Cash Express - Grand	1137-58 ✓ 1309 - T Cash Express - Major	34-07 ✓ 1311 - T Cash Express - Minor	63-98 ✓ 1312 - T Cash Express - Mini
2.18.01	20408-81 ✓ 20260 T-Plyrs Paradise Grand Sc Set 7			
2.32.04	12840-79 ✓ 6470 T-Big Shot Grand			

Signature

Licence:

NP_49-Sch4

18/8/16

JACKPOT READING FORM (Revised 22/08/2016)

Read Date: 23/8/16

Revenue Date: 22/8/16

Grand
or Mega
or Jackpot

Major
or Maxi
or Crackerjack

Maxi or
Minor
or Midi

Mini
or Skyrocket

DAY 02/9/16/23

1.17.04				10.14 ✓ 17 - Jackpot Carnival B Mini
1.37.01	5,734.75 25855-Pirates Revenge Grand	694.07 25865-Pirates Revenge Major	150.27 25875-Pirates Revenge Minor	13.23 25885-Pirates Revenge Mini
1.44.11	31,193.66 1367-T-888 Dragon A600 Set 7			
1.49.05 (Look at the TV screen on top of this location, don't look at the machine)		809.18 1231 - Jackpot 2 L3		416.07 1232 - Jackpot 2 L4
1.52.01	17,877. 12 - 8 Spot Keno			
1.60.02	25,133. 15230 - Jetsetter Xlr/Ch MEGA			
1.73.01		3,020.36 20990 - T-High Velocity GP Maxi		116.09 20980 - T-High Velocity GP Mini
1.79.01	5,934.31 14700 - Fast Lane No2 Grand	589.91 14710 - Fast Lane No2 Major	46.86 14720 - Fast Lane No2 Minor	10.51 14730 - Fast Lane No2 Mini
1.80.06	63,417.44 19990 - T-Money Chimes Mega	1,533.97 20000 - T-Money Chimes Maxi	232.72 20010 - T-Money Chimes Mini	
1.81.04	19,660.90 1157 - T-Cash Explosion Grand			
1.88.01	845,425.50 1347 - T-Cash Adventure			
1.89.01	845,426.76 26137 - Golden Dollars Grand	2,099.91 15790 - Golden Dollars Major	319.30 15800 - Golden Dollars Mini	
1.90.01	83,960.23 16 - Dinomight Mega	17,810.10 18 - Dinomight Maxi	331.13 19 - Dinomight Mini	

NP_49-Sch4

Signature : ..

Lic: ..

ReadTime

Start

Finish:

KCMS EGM AUDIT CHECKLIST

DATE 13/08/2016

	TASK	CHECK
1	Preliminary Reports	/
2	Daily Meters Report	/
3	Daily Tracker (coordinate with Finance Analyst)	N/A
4	JMS -> Pool Move -> Check TM1	/
5	Decline CCVs	/
6	Decline Ack Transactions in KCMS	/
7	Pay all Pay Transactions in KCMS	/
8	Decline Tickets (Care!! Do not decline current day tickets)	/
9	Duplicate Transactions	/
10	Manual APVs	/
11	Drop Mode Report	/
12	JPCC Buy Summary	/
13	Jackpot Reading Form	N/A
14	Enter Cage Figures	/
15	EGM Seals	/
16	Conversion Check	N/A
17	Jackpots (over \$10,000) and APV (5) Signature check	/
18	Hard Count	N/A
19	Soft Count	/
20	Check Grave JPCC Buy	/
21	Finalise	/
22	Daily Update	/
23	Print final reports	/
24	BT EG Upload	/
25	Smiley Face	/
26	Daily Drop Variance Spreadsheet	/
27	EGM Data Check (Enter Declined amount from Vouchers Sequence Report)	/
28	EGM Variance Checklist	/
29	Note Drop Variance	/
30	Coin Drop Variance	N/A
31	Ticket Drop Variance	/
32	Stacker Dispute	N/A
33	FATG	/
34	TAB	N/A
35	NOP	N/A
36	DMS	/
37	Send Daily Reports to OLGR	/
38	EGM Oracle Journal	/

Signature

Lic#

NP_49-Sch4

EGM VARIANCE CHECKLIST		
		DATE 13/08/2016
	TASK	CHECK
1	Run Soft Count Detail in Count Track Calendar date(4:00am - present time)	
2	Filter Var Total column for variance +/- \$25	
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16	Complete Audit Report for any unresolved variances to Jeanette	

Signature _____

Lic# _____

KCMS EGM AUDIT CHECKLIST		
		DATE 8/08/2016
	TASK	CHECK
1	Preliminary Reports	/
2	Daily Meters Report	/
3	Daily Tracker (coordinate with Finance Analyst)	/
4	JMS -> Pool Move -> Check TM1	/
5	Decline CCVs	/
6	Decline Ack Transactions in KCMS	/
7	Pay all Pay Transactions in KCMS	/
8	Decline Tickets (Care!! Do not decline current day tickets)	/
9	Duplicate Transactions	/
10	Manual APVs	/
11	Drop Mode Report	/
12	JPCC Buy Summary	/
13	Jackpot Reading Form	n/a
14	Enter Cage Figures	/
15	EGM Seals	/
16	Conversion Check	/
17	Jackpots (over \$10,000) and APV (5) Signature check	/
18	Hard Count	n/a
19	Soft Count	/
20	Check Grave JPCC Buy	/
21	Finalise	/
22	Daily Update	/
23	Print final reports	/
24	BT EG Upload	/
25	Smiley Face	/
26	Daily Drop Variance Spreadsheet	/
27	EGM Data Check (Enter Declined amount from Vouchers Sequence Report)	/
28	EGM Variance Checklist	/
29	Note Drop Variance	/
30	Coin Drop Variance	n/a
31	Ticket Drop Variance	/
32	Stacker Dispute	n/a
33	FATG	/
34	TAB	n/a
35	NOP	n/a
36	DMS	/
37	Send Daily Reports to OLGR	/
38	EGM Oracle Journal	/

Signature

Lic#

NP_49-Sch4

EGM VARIANCE CHECKLIST		
		DATE 8/08/2016
	TASK	CHECK
1	Run Soft Count Detail in Count Track Calendar date(4:00am - present time)	<input checked="" type="checkbox"/>
2	Filter Var Total column for variance +/- \$25	<input checked="" type="checkbox"/>
3	Confirm variance slip received from Count Team with supervisor signature (sign variance slip and printed copy)	<input checked="" type="checkbox"/>
4	Complete EGM until BT EG cube upload	<input checked="" type="checkbox"/>
5	Print KCMS Variance Reports <>\$0	<input checked="" type="checkbox"/>
6	Explain variances +/- \$25 on reports (check TM1 Log and KCMS Bills In Report)	<input checked="" type="checkbox"/>
7	Check Note variances +/- \$25 on variance log from TM1	<input checked="" type="checkbox"/>
8	Confirm meter drop are equal to KCMS bills in report	<input checked="" type="checkbox"/>
9	Run All Audit Operations in Count Track Audit Operations by Calendar date(4:00am-present)	<input checked="" type="checkbox"/>
10	Check for Note Accepted Outside of Threshold \$-25 value	<input checked="" type="checkbox"/>
11	Confirm Note Collection Counted - the Note Accepted Variance Outside Threshold value is same as KCMS bills in on the Bills In Report for Asset number	<input checked="" type="checkbox"/>
12	If not same check for Note Stacker Emergency Paperwork/Disputes/Short Pays/Pending	<input checked="" type="checkbox"/>
13	Unexplained Variances to be advised to Count, Gaming Technicians and Audit Reports forwarded to Jeanette Savage	<input checked="" type="checkbox"/>
14	Check all variances from Gaming Machine Log that were re- counted as requested	<input checked="" type="checkbox"/>
15	Enter explanation from audit reports returned from Gaming Technicians into log	<input checked="" type="checkbox"/>
16	Complete Audit Report for any unresolved variances to Jeanette	<input checked="" type="checkbox"/>

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NP_49-Sch4

KCMS EGM AUDIT CHECKLIST

DATE 10/08/2016

	TASK	CHECK
1	Preliminary Reports	/
2	Daily Meters Report	/
3	Daily Tracker (coordinate with Finance Analyst)	/
4	JMS -> Pool Move -> Check TM1	NA
5	Decline CCVs	/
6	Decline Ack Transactions in KCMS	/
7	Pay all Pay Transactions in KCMS	/
8	Decline Tickets (Care!! Do not decline current day tickets)	/
9	Duplicate Transactions	/
10	Manual APVs	/
11	Drop Mode Report	/
12	JPCC Buy Summary	/
13	Jackpot Reading Form	/
14	Enter Cage Figures	NA
15	EGM Seals	/
16	Conversion Check	/
17	Jackpots (over \$10,000) and APV (5) Signature check	NA
18	Hard Count	NA
19	Soft Count	NA
20	Check Grave JPCC Buy	NA
21	Finalise	/
22	Daily Update	/
23	Print final reports	/
24	BT EG Upload	/
25	Smiley Face	/
26	Daily Drop Variance Spreadsheet	/
27	EGM Data Check (Enter Declined amount from Vouchers Sequence Report)	/
28	EGM Variance Checklist	/
29	Note Drop Variance	/
30	Coin Drop Variance	NA
31	Ticket Drop Variance	NA
32	Stacker Dispute	NA
33	FATG	NA
34	TAB	/
35	NOP	/
36	DMS	NA
37	Send Daily Reports to OLGR	/
38	EGM Oracle Journal	/

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EGM VARIANCE CHECKLIST

DATE 10/08/2016

	TASK	CHECK
1	Run Soft Count Detail in Count Track Calendar date(4:00am - present time)	
2	Filter Var Total column for variance +/- \$25	
3	Confirm variance slip received from Count Team with supervisor signature (sign variance slip and printed copy)	
4	Complete EGM until BT EG cube upload	
5	Print KCMS Variance Reports <>\$0	
6	Explain variances +/- \$25 on reports (check TM1 Log and KCMS Bills In Report)	
7	Check Note variances +/- \$25 on variance log from TM1	
8	Confirm meter drop are equal to KCMS bills in report	
9	Run All Audit Operations in Count Track Audit Operations by Calendar date(4:00am-present)	
10	Check for Note Accepted Outside of Threshold \$-25 value	
11	Confirm Note Collection Counted - the Note Accepted Variance Outside Threshold value is same as KCMS bills in on the Bills In Report for Asset number	
12	If not same check for Note Stacker Emergency Paperwork/Disputes/Short Pays/Pending	
13	Unexplained Variances to be advised to Count, Gaming Technicians and Audit Reports forwarded to Jeanette Savage	
14	Check all variances from Gaming Machine Log that were re- counted as requested	
15	Enter explanation from audit reports returned from Gaming Technicians into log	
16	Complete Audit Report for any unresolved variances to Jeanette	

Signature

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NO DROP

[illegible]